



EFPIA Disclosure Code 2020 Self-Certification Scheme

Healthcare professionals (HCPs) and healthcare organisations (HCOs) with whom Vifor Pharma Group works provide the Pharmaceutical Industry with valuable, independent and expert knowledge derived from their clinical and management experience. As owners of scientific knowledge and experts in medicinal products, pharmaceutical companies can be a unique resource to the healthcare systems and providers, which will ultimately benefit the patients.

Throughout the medicines life cycle pharmaceutical companies work with scientists and HCPs. These collaborations are essential in addressing patient needs. Industry and HCPs collaborate in a range of activities from clinical research to sharing best clinical practice and exchanging information on how new medicines fit into the patient pathway.

EFPIA and its member associations have adopted codes and guidelines to ensure that the interactions of their member companies with HCPs and HCOs meet the high standards of integrity and transparency. Building greater transparency to the relationships between pharmaceutical companies and HCPs/HCOs aims to building understanding of the collaboration and recognition of its value to patient care.

EFPIA and its members are fully committed to implement and apply the highest ethical standards. Despite the exceptional circumstances related to COVID-19, Member Companies made their best efforts to disclose the relevant information for 2019.

Except in countries where disclosure is prescribed by laws, Vifor Pharma Group hereby confirms that its disclosures of transfers of value (ToVs) to HCPs and HCOs made in 2019 have been reported in application of the EFPIA Disclosure Code following key principles:

Disclosure quality

Vifor Pharma Group certifies that:

- Its disclosures are made in each country where it operates;
- Its disclosures include direct and indirect ToVs, as defined in the codes and associated guidance issued by EFPIA;
- Its Methodological Note describes the process it has followed in order to compile the data hereby disclosed.

Methodology used for the collection and organisation of ToVs is in line with the EFPIA Disclosure Code's requirements and applicable codes

Vifor Pharma Group certifies that:

- Data collection complies with the requirements of the EFPIA Disclosure Code;
- Actions were taken to ensure individual disclosure for HCPs and HCOs' transfers of values (each
 as defined in the EFPIA Disclosure Code).

Aggregate disclosures are limited to Research and Development ToVs and such ToVs that cannot be disclosed on an individual basis for legal reasons





Vifor Pharma Group certifies that aggregate disclosure is limited to the following topics:

- Research and Development Transfers of Value (as defined in the EFPIA Disclosure Code);
- Transfers of Value to Recipients that have opposed to the publication on grounds of the protection of their private data;
- If an HCP or HCO (where applicable) has provided consent to individual disclosure only in respect of part of the Transfers of Value he/she/it received, all Transfers of Value to such HCP or HCO (where applicable) are being disclosed in the aggregate.

Ensuring compliance with Data Privacy Obligations

Vifor Pharma Group certifies that its disclosure complies with the Data Privacy obligations.

Date: 24.06.2020

Name of signatory: Andreas Walde

Position in the Company: General Secretary

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EFPIA Disclosure Code 2019 Self-Certification Scheme

Healthcare professionals (HCPs) and healthcare organisations (HCOs) with whom Vifor Pharma Group works provide the Pharmaceutical Industry with valuable, independent and expert knowledge derived from their clinical and management experience. As owners of scientific knowledge and experts in medicinal products, pharmaceutical companies can be a unique resource to the healthcare systems and providers, which will ultimately benefit the patients.

Throughout the medicines life cycle pharmaceutical companies work with scientists and HCPs. These collaborations are essential in addressing patient needs. Industry and HCPs collaborate in a range of activities from clinical research to sharing best clinical practice and exchanging information on how new medicines fit into the patient pathway.

EFPIA and its member associations have adopted codes and guidelines to ensure that the interactions of their member companies with HCPs and HCOs meet the high standards of integrity and transparency. Building greater transparency to the relationships between pharmaceutical companies and HCPs/HCOs aims to building understanding of the collaboration and recognition of its value to patient care.

Except in countries where disclosure is prescribed by laws, Vifor Pharma Group hereby confirms that its disclosures of transfers of value (ToVs) to HCPs and HCOs made in 2018 have been reported in application of the EFPIA Disclosure Code following key principles:

Disclosure quality

Vifor Pharma Group certifies that:

- · Its disclosures are made in each country where it operates;
- Its disclosures include direct and indirect ToVs, as defined in the codes and associated guidance issued by EFPIA;
- Its Methodological Note describes the process it has followed in order to compile the data hereby disclosed.

Methodology used for the collection and organisation of ToVs is in line with the EFPIA Disclosure Code's requirements and applicable codes

Vifor Pharma Group certifies that:

- Data collection complies with the requirements of the EFPIA Disclosure Code;
- Actions were taken to ensure individual disclosure for HCPs and HCOs' transfers of values (each
 as defined in the EFPIA Disclosure Code).

Aggregate disclosures are limited to Research and Development ToVs and such ToVs that cannot be disclosed on an individual basis for legal reasons

Vifor Pharma Group certifies that aggregate disclosure is limited to the following topics:

- Research and Development Transfers of Value (as defined in the EFPIA Disclosure Code);
- Transfers of Value to Recipients that have opposed to the publication on grounds of the protection of their private data;





If an HCP or HCO (where applicable) has provided consent to individual disclosure only in respect
of part of the Transfers of Value he/she/it received, all Transfers of Value to such HCP or HCO
(where applicable) are being disclosed in the aggregate.

Ensuring compliance with Data Privacy Obligations

Vifor Pharma Group certifies that its disclosure complies with the Data Privacy obligations.

Date: 20 June 2019

Name of signatory: Dr. Oliver P. Kronenberg

Position in the Company: Group General Counsel

Signature:

Vifor Pharma Ltd.

Name of signatory: Dr. Andreas Walde

Position in the Company: General Secretary





EFPIA Disclosure Code 2018 Self-Certification Scheme

Healthcare professionals (HCPs) and healthcare organisations (HCOs) with whom Vifor Pharma Group works provide the Pharmaceutical Industry with valuable, independent and expert knowledge derived from their clinical and management experience. As owners of scientific knowledge and experts in medicinal products, pharmaceutical companies can be a unique resource to the healthcare systems and providers, which will ultimately benefit the patients.

Throughout the medicines life cycle pharmaceutical companies work with scientists and HCPs. These collaborations are essential in addressing patient needs. Industry and HCPs collaborate in a range of activities from clinical research to sharing best clinical practice and exchanging information on how new medicines fit into the patient pathway.

EFPIA and its member associations have adopted codes and guidelines to ensure that the interactions of their member companies with HCPs and HCOs meet the high standards of integrity and transparency. Building greater transparency to the relationships between pharmaceutical companies and HCPs/HCOs aims to building understanding of the collaboration and recognition of its value to patient care.

Except in countries where disclosure is prescribed by laws, Vifor Pharma Group hereby confirms that its disclosures of transfers of value (ToVs) to HCPs and HCOs made in 2017 have been reported in application of the EFPIA Disclosure Code following key principles:

Disclosure quality

Vifor Pharma Group certifies that:

- Its disclosures are made in each country where it operates;
- Its disclosures include direct and indirect ToVs, as defined in the codes and associated guidance issued by EFPIA;
- Its Methodological Note describes the process it has followed in order to compile the data hereby disclosed.

Methodology used for the collection and organisation of ToVs is in line with the EFPIA Disclosure Code's requirements and applicable codes

Vifor Pharma Group certifies that:

Data collection complies with the requirements of the EFPIA Disclosure Code;





• Actions were taken to ensure individual disclosure for HCPs and HCOs' transfers of values (each as defined in the EFPIA Disclosure Code).

Aggregate disclosures are limited to Research and Development ToVs and such ToVs that cannot be disclosed on an individual basis for legal reasons

Vifor Pharma Group certifies that aggregate disclosure is limited to the following topics:

- Research and Development Transfers of Value (as defined in the EFPIA Disclosure Code);
- Transfers of Value to Recipients that have opposed to the publication on grounds of the protection of their private data;
- If an HCP or HCO (where applicable) has provided consent to individual disclosure only in respect of part of the Transfers of Value he/she/it received, all Transfers of Value to such HCP or HCO (where applicable) are being disclosed in the aggregate.

Ensuring compliance with Data Privacy Obligations

Vifor Pharma Group certifies that its disclosure complies with the Data Privacy obligations.

Date: 30 June 2018

Name of signatory: Dr. Oliver P. Kronenberg

Position in the Company: Group General Counsel

Signature:

Name of signatory: : Dr. Andreas Walde

Position in the Company: General Secretary

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EFPIA Disclosure Code 2016 Self-Certification Scheme

Healthcare professionals (HCPs) and healthcare organisations (HCOs) with whom Vifor Pharma Group works provide the Pharmaceutical Industry with valuable, independent and expert knowledge derived from their clinical and management experience. As owners of scientific knowledge and experts in medicinal products, pharmaceutical companies can be a unique resource to the healthcare systems and providers, which will ultimately benefit the patients.

Throughout the medicines life cycle pharma companies work with scientists and healthcare professionals. These collaborations are essential in addressing patient needs. Industry and healthcare professionals collaborate in a range of activities from clinical research to sharing best clinical practice and exchanging information on how new medicines fit into the patient pathway.

EFPIA and its member associations have adopted codes and guidelines to ensure that the interactions of their member companies with healthcare professionals and organisations meet the high standards of integrity and transparency. Building greater transparency to the relationships between pharma companies and HCPs/HCOs aims to building understanding of the collaboration and recognition of its value to patient care.

Vifor Pharma Group hereby confirms that its disclosures of transfers of value (ToVs) to HCPs and HCOs made in 2016 have been reported in application of the EFPIA Disclosure Code following key principles:

Disclosure quality

Vifor Pharma Group certifies that:

- Its disclosures are made in each country where it operates;
- Its disclosures include direct and indirect ToVs, as defined in the codes and associated guidance issued by EFPIA;
- Its Methodological Note describes the process it has followed in order to compile the data hereby disclosed.

Methodology used for the collection and organisation of ToVs is in line with the EFPIA Disclosure Code's requirements and applicable codes

Vifor Pharma Group certifies that:

- Data collection complies with the requirements of the EFPIA Disclosure Code:
- Actions were taken to seek consent from HCPs and HCOs (each as defined in the EFPIA Disclosure Code), where applicable.

Aggregate disclosures are limited to Research and Development ToVs and such ToVs that cannot be disclosed on an individual basis for legal reasons

Vifor Pharma Group certifies that aggregate disclosure is limited to the following topics:

- Research and Development Transfers of Value (as defined in the EFPIA Disclosure Code);
- Transfers of Value to Recipients that have opposed to the publication on grounds of the protection of their private data;





 If an HCP or HCO (where applicable) has provided consent to individual disclosure only in respect of part of the Transfers of Value he/she/it received, all Transfers of Value to such HCP or HCO (where applicable) are being disclosed in the aggregate.

Seeking the consent of Recipients

Vifor Pharma Group certifies that it has used all reasonable steps for the purpose of obtaining consent to individual disclosure where such consent is required by applicable law.

Date: 5 July 2017

Name of signatory: Stefan Schulze

Position in the Company: President of the Executive Committee and Chief Operational Officer Vifor

Pharma

Signature:

Name of signatory: Oliver P. Kronenberg

Position in the Company: General Counsel Vifor Pharma



EFPIA Disclosure Code 2015 Self-Certification Scheme

Healthcare professionals (HCPs) and healthcare organisations (HCOs) with whom Vifor Pharma works provide the Pharmaceutical Industry with valuable, independent and expert knowledge derived from their clinical and management experience. As owners of scientific knowledge and experts in medicinal products, pharmaceutical companies can be a unique resource to the healthcare systems and providers, which will ultimately benefit the patients.

Throughout the medicines life cycle pharma companies work with scientists and healthcare professionals. These collaborations are essential in addressing patient needs. Industry and healthcare professionals collaborate in a range of activities from clinical research to sharing best clinical practice and exchanging information on how new medicines fit into the patient pathway.

EFPIA and its member associations have adopted codes and guidelines to ensure that the interactions of their member companies with healthcare professionals and organisations meet the high standards of integrity and transparency. Building greater transparency to the relationships between pharma companies and HCPs/HCOs aims to building understanding of the collaboration and recognition of its value to patient care.

Vifor Pharma hereby confirms that its disclosures of transfers of value (ToVs) to HCPs and HCOs made in 2015 have been reported in application of the EFPIA Disclosure Code following key principles:

Disclosure quality

Vifor Pharma certifies that:

- Its disclosures are made for each EFPIA country where it operates;
- Its disclosures include direct and indirect ToVs, as defined in the codes and associated guidance issued by EFPIA;
- Its Methodological Notes describe the process it has followed in order to compile the data hereby disclosed.

Methodology used for the collection and organisation of ToVs is in line with the EFPIA Disclosure Code's requirements and applicable codes

Vifor Pharma certifies that:

- Data collection complies with the requirements of the EFPIA Disclosure Code;
- Actions were taken to seek consent from HCPs and HCOs (each as defined in the EFPIA Disclosure Code), where applicable.

Aggregate disclosures are limited to Research and Development ToVs and such ToVs that cannot be disclosed on an individual basis for legal reasons

Vifor Pharma certifies that aggregate disclosure is limited to the following topics:

- Research and Development Transfers of Value (as defined in the EFPIA Disclosure Code);
- Transfers of Value to Recipients that have opposed to the publication on grounds of the protection of their private data;



If an HCP or HCO (where applicable) has provided consent to individual disclosure only in respect of part of the Transfers of Value he/she/it received, all Transfers of Value to such HCP or HCO (where applicable) are being disclosed in the aggregate.

Seeking the consent of Recipients

Vifor Pharma certifies that it has used all reasonable steps for the purpose of obtaining consent to individual disclosure where such consent is required by applicable law.

Date: 5 July 2016

Name of signatory: Oliver P. Kronenberg

Position in the Company: General Counsel Vifor Pharma
Signature:

Name of signatory: Beatrix Benz

Position in the Company: Head of Global Communications & Public Affairs